



Please use a plus sign (+) inside this box → **[+]**

**COPY OF PAPERS
ORIGINALLY FILED**

2874
\$
PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/672,159
		Filing Date	September 27, 2000
		First Named Inventor	Jian et al.
		Group Art Unit	2874
		Examiner Name	Connelly Cushwa, M.
Total Number of Pages in This Submission	19	Attorney Docket Number	2790.P004A

ENCLOSURES (check all that apply)

Fee Transmittal Form
 Fee Attached
 Amendment / Reply
 After Final
 Affidavits/declaration(s)
 Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

pto -
2038

Assignment Papers (for an Application)
 Drawing(s)
 Licensing-related Papers
 Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s) _____

After Allowance Communication to Group
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Other Enclosure(s) (please identify below):

**Response and Preliminary
Amendment (14 pgs)
PTO-2038 (1 page)
Postcard**

Remarks

RECEIVED
AUG - 7 2002
2800 MAIL ROOM

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

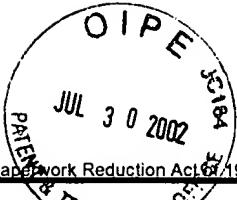
Firm or Individual name	Law Offices of James D. McFarland
Signature	
Date	July 22, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **07/22/2002**

Typed or printed name	James D. McFarland
Signature	
Date	July 22, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**COPY OF PAPERS
ORIGINALLY FILED**

Express Mail Cert No

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\\$) 99
--------------------------------	-----------------

Complete if Known

Application Number	09/672,159
Filing Date	September 27, 2000
First Named Inventor	Jian et al.
Examiner Name	Connelly Cushwa, M.
Group Art Unit	2874
Attorney Docket No.	2790.P004A

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-0948
Deposit Account Name	Law Offices of James D. McFarland

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	[]
106	330	206 165 Design filing fee	[]
107	510	207 255 Plant filing fee	[]
108	740	208 370 Reissue filing fee	[]
114	160	214 80 Provisional filing fee	[]

SUBTOTAL (1) (\\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
31	-20** = 11	x \$9	\$99
Independent Claims	0	- 3** = 0	x \$42 = []
Multiple Dependent			[] = []

Large Entity Fee Code (\$) **Small Entity Fee Code (\$)** **Fee Description**

103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\\$) 99

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	[]
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	[]
139	130	139 130 Non-English specification	[]
147	2,520	147 2,520 For filing a request for ex parte reexamination	[]
112	920*	112 920* Requesting publication of SIR prior to Examiner action	[]
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	[]
115	110	215 55 Extension for reply within first month	[]
116	400	216 200 Extension for reply within second month	[]
117	920	217 460 Extension for reply within third month	[]
118	1,440	218 720 Extension for reply within fourth month	[]
128	1,960	228 980 Extension for reply within fifth month	[]
119	320	219 160 Notice of Appeal	[]
120	320	220 160 Filing a brief in support of an appeal	[]
121	280	221 140 Request for oral hearing	[]
138	1,510	138 1,510 Petition to institute a public use proceeding	[]
140	110	240 55 Petition to revive - unavoidable	[]
141	1,280	241 640 Petition to revive - unintentional	[]
142	1,280	242 640 Utility issue fee (or reissue)	[]
143	460	243 230 Design issue fee	[]
144	620	244 310 Plant issue fee	[]
122	130	122 130 Petitions to the Commissioner	[]
123	50	123 50 Processing fee under 37 CFR 1.17(q)	[]
126	180	126 180 Submission of Information Disclosure Stmt	[]
581	40	581 40 Recording each patent assignment per property (times number of properties)	[]
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	[]
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	[]
179	740	279 370 Request for Continued Examination (RCE)	[]
169	900	169 900 Request for expedited examination of a design application	[]
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\\$)

10
 800 MAIL ROOM
 RECEIVED
 AUG 7 2002

SUBMITTED BY

Name (Print/Type)	James D. McFarland	Registration No. (Attorney/Agent)	32,544	Telephone	(858) 509-8687	
Signature					Date	July 22, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS
ORIGINALLY FILED

PATENT

#5A
Election
Amndt.
SDamus
9/9/02

Atty. File No.: 2790.P004A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jian, B. et al.

Group Art Unit: 2874

Serial No.: 09/672,159

Certificate of Mailing Under
37 C.F.R. §1.8

Filed: 09/27/2000

I hereby certify that this document is being deposited this day in the U.S. Postal Service as first class mail, in an envelope addressed to:

For: HYBRID MICROLENS ARRAY

Assistant Commissioner for Patents,
Washington, DC 20231

Examiner: Connelly Cushwa, M.

Date: July 22, 2002

Signature

Name: James D. McFarland
Reg. No. 32,544

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED
AUG -1 2002
MAIL ROOM

RESPONSE TO RESTRICTION REQUIREMENT AND
PRELIMINARY AMENDMENT

Dear Sir:

In response to the Office Action dated June 21, 2002, Applicant elects Group I and makes the following preliminary amendment.

Response to Restriction Requirement

The Examiner has determined that Claims 1-20 are subject to restriction as follows:

Group I: Claims 1-10 and 16-18, drawn to a hybrid microlens; and

Group II: Claims 11-15, 19, and 20, drawn to an optical construction that substantially reduces return signals.

Applicant hereby elects Group I, and therefore cancels claims 11-15 and 19-20.